

Formulating a More Comprehensive Stroke-Risk Evaluation Scale

Indranill Basu Ray, MD, FACP
Sumit K. Shah, MD, MPH

★ CME Credit

Presented at
The Ali Massumi
Cardiac Arrhythmia
Symposium; Houston,
3 February 2018.

Section Editor:

Mohammad Saeed, MD,
FACC

Key words: Acute disease; atrial appendage/diagnostic imaging/physiopathology; atrial fibrillation/complications/diagnosis/drug therapy/epidemiology/mortality; biomarkers/blood; decision support techniques; heart atria/diagnostic imaging; practice guidelines as topic; predictive value of tests; risk factors; stroke/epidemiology/prevention & control

From: CHI St. Vincent's Infirmary (Dr. Basu Ray); and W.P. Rockefeller Cancer Institute (Dr. Shah), University of Arkansas for Medical Sciences; Little Rock, Arkansas 72205

Address for reprints:

Indranill Basu Ray, MD,
St. Francis Hospital,
5959 Park Ave.,
Memphis, TN 38119

E-mail: ibasuray@yahoo.com

© 2018 by the Texas Heart®
Institute, Houston

All types of atrial fibrillation (AF)—paroxysmal, persistent, and even subclinical—have been associated with stroke.^{1,3} In the United States, as many as 6.1 million people have AF and its sequelae. Although AF is observed predominantly in the elderly and its risk increases linearly with age, almost 2% of people younger than 65 years have AF. Atrial fibrillation alone is responsible for more than 750,000 hospitalizations and almost 130,000 deaths each year. Mortality rates associated with AF, including complications such as stroke, have increased in the past few decades.⁴

The adverse effects of stroke place a burden not only on individual patients but also on our healthcare system. According to findings in a multinational study, the mean cost of stroke management during the initial hospitalization period was nearly \$14,000.⁵ Various stroke-risk scores have been developed to identify those at risk and to aid in prevention. The original scoring system extensively used in the U.S., CHADS₂, was superseded by CHA₂DS₂-VASc, which incorporates additional risk factors for predicting stroke risk.⁶ The latest scoring system, ATRIA, is even more comprehensive.⁷ Table I highlights differences between the 3 scoring systems.

Additional factors considered in ATRIA (Table II) include renal dysfunction (estimated glomerular filtration rate <45 mL/min or end-stage renal disease) and proteinuria. Unlike CHADS₂ and CHA₂DS₂-VASc, ATRIA incorporates the finding that history of stroke independently increases the risk of future events. An extended age range for assigning scores (<65, 65–74, 75–84, and >85 yr) accounts for the increase in stroke risk with age. Table III shows the annual rate of stroke incidence with use of different scores.⁷

On the basis of C-index and net reclassification improvement (NRI), indices used to compare models and quantify improvements, ATRIA is relatively more effective than are CHA₂DS₂-VASc and CHADS₂. For ATRIA, C=0.708 (range, 0.704–0.713); for CHA₂DS₂-VASc, C=0.694 (range, 0.690–0.700); and for CHADS₂, C=0.690 (range, 0.685–0.695). The NRI for ATRIA was 0.16 (range, 0.14–0.17) in comparison with CHADS₂, and 0.21 (range, 0.20–0.23) in comparison with CHA₂DS₂-VASc. Both C-index and NRI indicate improvement in accuracy when ATRIA is used.⁸ The efficacy of ATRIA notwithstanding, CHA₂DS₂-VASc is easier to calculate at bedside and remains more popular.

Female patients older than 65 years are assigned a CHA₂DS₂-VASc score of 2 and qualify for anticoagulant therapy, despite their low risk of stroke according to European guidelines.⁹ Female patients <65 years of age are scored 1 and are eligible for antiplatelet therapy. Antiplatelet and anticoagulant therapy in an inappropriate patient can cause bleeding, so a stroke score must be specific enough to reveal actual risk without increasing the potential for severe complications. Accordingly, investigators are now considering additional evidence from echocardiography, magnetic resonance imaging (MRI), sleep studies, and biomarkers (Table IV).¹⁰⁻¹⁸

Cryptogenic Stroke

Because of failure to identify AF or other causes, up to 40% of ischemic strokes are classified as cryptogenic. Atrial fibrillation is found in only a few such cases, even after 3 years of monitoring events.^{19,20} Thus, other factors might augment thrombogenicity in the absence of AF. We have shown that complex left atrial (LA) morphology may be an independent risk factor for stroke in the absence of AF.¹² Left atrial ap-

TABLE I. Risk Factors for Stroke Included in the Scoring Models⁷

Risk Factor	CHADS ₂ (2001)	CHA ₂ DS ₂ -VASc (2010)	ATRIA (2013)
Score range	0–6	0–9	0–12 (pts without prior stroke); 7–15 (pts with prior stroke)
Age (yr)	>75 = 2 points	>75 = 2 points; 65–74 = 1 point	Extended range (<65, 65–74, 75–84, and >85)
Hypertension	Yes	Yes	Yes
Diabetes mellitus	Yes	Yes	Yes
CHF	Yes	Yes	Yes
Prior stroke or TIA	Yes	Yes	Higher scores for pts with prior stroke
Vascular disease	No	Yes	No
Female	No	Yes	Yes
Renal dysfunction	No	No	Yes
Proteinuria	No	No	Yes

CHF = congestive heart failure; pts = patients; TIA = transient ischemic attack

TABLE II. ATRIA Score Calculation⁷

Risk Factors	No Prior Stroke	Prior Stroke
Age (yr)		
<65	0	8
65–74	3	7
75–84	5	7
≥85	6	9
Female	1	1
Hypertension	1	1
Diabetes mellitus	1	1
Congestive heart failure	1	1
Proteinuria	1	1
eGFR <45 mL/min or ESRD	1	1
Range	0–12	7–15

eGFR = estimated glomerular filtration rate; ESRD = end-stage renal disease

pendage (LAA) morphology is broadly classified into simple (chicken wing) and complex—the latter including all the other types, such as chicken wing with pectinated walls, and cauliflower (multiple branches). In a retrospective case-controlled study of patients with and without ischemic stroke, those with complex LAA morphology had higher odds of ischemic stroke (OR=5; 95% CI, 1.05–23.73; $P=0.043$).¹¹

Left Atrial Appendage Morphology

The presence of more lobes in complex LAA morphology is an independent cause of blood stasis and throm-

bus formation.²¹ The LAA emptying velocity, volume, and number of lobes are significantly associated with risk of thromboembolism and stroke.²² In addition, LAA flow velocity has been implicated in cardioembolic events and ischemic stroke. Patients with low flow velocity were significantly associated with non-chicken wing morphology (OR=9.664; 95% CI, 1.075–86.9; $P=0.0429$) and with higher plasma B-type natriuretic peptide (BNP) levels (OR=1.012 for every 1-pg/mL rise in BNP; 95% CI, 1.001–1.022; $P=0.035$). Patients with non-chicken wing morphology, low flow velocity, and higher plasma BNP levels were more likely to have an ischemic stroke.²³

Diastolic Dysfunction

Ventricular diastolic dysfunction is also implicated in ischemic stroke. It is widely accepted that the LA undergoes adverse remodeling in response to increased pressure, against which it needs to pump blood in cases of diastolic dysfunction. Diastolic dysfunction increases the chance of AF by altering LA morphology.²⁴ It is increasingly evident that, in aberrant diastology, the rheologic properties of blood are altered, making it more thrombogenic.²⁵ In a small group of patients, diastolic dysfunction greater than grade II (on echocardiography) was an independent risk factor for cardioembolic stroke.¹² Other investigators have reached similar conclusions.²⁶

Left Atrial Fibrosis

Left atrial structural changes are accompanied by functional changes. Increased LA size leads to LA functional deterioration. Left atrial dilation is the indication that LA remodeling has occurred, and this eventually leads to AF.²⁷ Idiopathic dilated cardiomyopathy has been

TABLE III. Incidence* of Stroke and Thromboembolic Events in the Scoring Models⁷

Score	CHADS ₂	CHA ₂ DS ₂ -VASc	ATRIA
0	1.9	0.66	0.1
1	2.8	1.45	0.4
2	4	2.92	1
3	5.9	4.28	0.7
4	8.5	6.46	0.6
5	12.5	9.37	1
6	18.2	12.52	1.9
7	—	13.96	2.5
8	—	14.1	3.9
9	—	15.89	4.3
10	—	—	6.4
11	—	—	6.2
12	—	—	11
13	—	—	7.5
14	—	—	16.4
15	—	—	0

*per 100 person-years

strongly associated with LA thrombi on the basis of transthoracic echocardiographic findings.¹⁴ Patients with AF and prior stroke tend to have more extensive LA fibrosis on delayed-enhancement MRI. Investigating LA structure and function can be valuable in evaluating stroke risk.¹³

Biomarkers

Evidence indicates that investigating biomarkers alone or in combination can assist in predicting risk of cardioembolic events and ischemic stroke.²⁸ Patients without heart failure who have elevated plasma levels of the N-terminal fragment of B-type natriuretic peptide (NT-proBNP) are 5 times more likely to have a transient ischemic attack or stroke than are those with lower levels.¹⁵ Elevated plasma BNP was significantly associated with higher post-stroke mortality rates than were lower levels (OR=2.3; 95% CI, 1.32–4.01; *P*=0.003).¹⁶ Similarly, patients with higher levels of cardiac troponin I are more likely to develop thromboembolism than are those with lower levels.¹⁷ Inflammation is significantly associated with AF. Among patients monitored for a mean duration of 6.9 ± 1.6 years, those with elevated C-reactive protein levels had a 33% greater likelihood of future AF events.¹⁸

Summary

Existing stroke scores, including those derived from ATRIA, can lead to over- or underestimating actual

TABLE IV. Imaging Findings and Biomarkers in Atrial Fibrillation Risk Stratification

Imaging Findings	
Complex left atrial appendage morphology ¹¹	
Diastolic dysfunction ¹²	
Left atrial fibrosis on delayed-enhancement MRI ¹³	
Dilated cardiomyopathy on TEE ¹⁴	
Biomarkers	
N-terminal proBNP ¹⁵ and BNP ¹⁶	
Cardiac troponin I ¹⁷	
C-reactive protein ¹⁸	
BNP = B-type natriuretic peptide; MRI = magnetic resonance imaging; TEE = transesophageal echocardiography	

stroke risk in different cohorts of patients. This mandates the use of newer methods to formulate a more robust scoring system. Left atrial appendage morphology, MRI-determined LA fibrosis, and heart failure with preserved ejection fraction (not congestive heart failure, as is considered in the “heart failure” category of the CHA₂DS₂-VASc score) may need consideration in stroke risk, as may biomarkers for heart failure and infarction. It is crucial to devise a new, improved scoring system that incorporates all determinants of thromboembolism that lead to stroke while ensuring that low-risk patients are spared from being prescribed an anticoagulant.

Acknowledgment

We thank T. Jared Bunch, MD, for his review of the manuscript and his valuable suggestions.

References

1. Healey JS. The winding path towards rationale anti-thrombotic therapy to prevent stroke in patients with atrial fibrillation. *Eur Heart J* 2018;39(6):474-6.
2. Renoux C, Patenaude V, Suissa S. Incidence, mortality, and sex differences of non valvular atrial fibrillation: a population based study. *J Am Heart Assoc* 2014;3(6):e001402.
3. Brambatti M, Connolly SJ, Gold MR, Morillo CA, Capucci A, Muto C, et al. Temporal relationship between subclinical atrial fibrillation and embolic events. *Circulation* 2014;129(21):2094-9.
4. Centers for Disease Control and Prevention. Atrial fibrillation fact sheet. Available from: https://www.cdc.gov/dhbsp/data_statistics/fact_sheets/fs_atrial_fibrillation.htm [revised 2017 Aug 22].
5. Caro JJ, Huybrechts KF, Duchesne I. Management patterns and costs of acute ischemic stroke: an international study. For the Stroke Economic Analysis Group. *Stroke* 2000;31(3):582-90.

6. Friberg L, Rosenqvist M, Lip GY. Evaluation of risk stratification schemes for ischaemic stroke and bleeding in 182,678 patients with atrial fibrillation: the Swedish Atrial Fibrillation cohort study. *Eur Heart J* 2012;33(12):1500-10.
7. Chao TF, Chen SA. Stroke risk predictor scoring systems in atrial fibrillation. *J Atr Fibrillation* 2014;6(5):998.
8. Aspberg S, Chang Y, Atterman A, Bottai M, Go AS, Singer DE. Comparison of the ATRIA, CHADS2, and CHA2DS2-VASc stroke risk scores in predicting ischaemic stroke in a large Swedish cohort of patients with atrial fibrillation. *Eur Heart J* 2016;37(42):3203-10.
9. Lip GY. Can we predict stroke in atrial fibrillation? *Clin Cardiol* 2012;35 Suppl 1:21-7.
10. Szymanski FM, Lip GY, Filipiak KJ, Platek AE, Hrynkiwicz-Szymanska A, Opolski G. Stroke risk factors beyond the CHA2DS2-VASc score: can we improve our identification of "high stroke risk" patients with atrial fibrillation? *Am J Cardiol* 2015;116(11):1781-8.
11. Basu-Ray I, Schwing G, Novak J, Monlezun D, Boja H, Deere B, et al. Complex left atrial appendage morphology increases risk of cryptogenic ischemic stroke [abstract]. *Circulation* 2016;134:A18983. Available from: http://circ.ahajournals.org/content/134/Suppl_1/A18983.
12. Basu-Ray I, Schwing G, Middour T, Monlezun D, Allencheril J, Martin-Schild S, et al. Heart failure with preserved ejection fraction is associated with cardioembolic stroke independent of history of atrial fibrillation [abstract]. *Eur Heart J* 2017;38(Suppl 1):902. Available from: https://academic.oup.com/eurheartj/article/38/suppl_1/ehx504.P4365/4090407.
13. Daccarett M, Badger TJ, Akoum N, Burgon NS, Mahnkopf C, Vergara G, et al. Association of left atrial fibrosis detected by delayed-enhancement magnetic resonance imaging and the risk of stroke in patients with atrial fibrillation. *J Am Coll Cardiol* 2011;57(7):831-8.
14. Vigna C, Russo A, De Rito V, Perna G, Villella A, Testa M, et al. Frequency of left atrial thrombi by transesophageal echocardiography in idiopathic and in ischemic dilated cardiomyopathy. *Am J Cardiol* 1992;70(18):1500-1.
15. Wang TJ, Larson MG, Levy D, Benjamin EJ, Leip EP, Omland T, et al. Plasma natriuretic peptide levels and the risk of cardiovascular events and death. *N Engl J Med* 2004;350(7):655-63.
16. Garcia-Berrococo T, Giral D, Bustamante A, Etgen T, Jensen JK, Sharma JC, et al. B-type natriuretic peptides and mortality after stroke: a systematic review and meta-analysis. *Neurology* 2013;81(23):1976-85.
17. Providencia R, Paiva L, Faustino A, Botelho A, Trigo J, Casalta-Lopes J, et al. Cardiac troponin I: prothrombotic risk marker in non-valvular atrial fibrillation. *Int J Cardiol* 2013;167(3):877-82.
18. Aviles RJ, Martin DO, Apperson-Hansen C, Houghtaling PL, Rautaharju P, Kronmal RA, et al. Inflammation as a risk factor for atrial fibrillation. *Circulation* 2003;108(24):3006-10.
19. Sanna T, Diener HC, Passman RS, Di Lazzaro V, Bernstein RA, Morillo CA, et al. Cryptogenic stroke and underlying atrial fibrillation. *N Engl J Med* 2014;370(26):2478-86.
20. Yaghi S, Song C, Gray WA, Furie KL, Elkind MS, Kamel H. Left atrial appendage function and stroke risk. *Stroke* 2015;46(21):3554-9.
21. Korhonen M, Muuronen A, Arponen O, Mustonen P, Hedman M, Jakala P, et al. Left atrial appendage morphology in patients with suspected cardiogenic stroke without known atrial fibrillation. *PLoS One* 2015;10(3):e0118822.
22. Yamamoto M, Seo Y, Kawamatsu N, Sato K, Sugano A, Machino-Ohtsuka T, et al. Complex left atrial appendage morphology and left atrial appendage thrombus formation in patients with atrial fibrillation. *Circ Cardiovasc Imaging* 2014;7(2):337-43.
23. Kishima H, Mine T, Ashida K, Sugahara M, Kodani T, Masuyama T. Does left atrial appendage morphology influence left atrial appendage flow velocity? *Circ J* 2015;79(8):1706-11.
24. Tiwari S, Schirmer H, Jacobsen BK, Hopstock LA, Nyrnes A, Heggelund G, et al. Association between diastolic dysfunction and future atrial fibrillation in the Tromsø Study from 1994 to 2010. *Heart* 2015;101(16):1302-8.
25. Lee JM, Shim J, Uhm JS, Kim YJ, Lee HJ, Pak HN, et al. Impact of increased orifice size and decreased flow velocity of left atrial appendage on stroke in nonvalvular atrial fibrillation. *Am J Cardiol* 2014;113(6):963-9.
26. Seo JY, Lee KB, Lee JG, Kim JS, Roh H, Ahn MY, et al. Implication of left ventricular diastolic dysfunction in cryptogenic ischemic stroke. *Stroke* 2014;45(9):2757-61.
27. Casaclang-Verzosa G, Gersh BJ, Tsang TS. Structural and functional remodeling of the left atrium: clinical and therapeutic implications for atrial fibrillation. *J Am Coll Cardiol* 2008;51(1):1-11.
28. Montaner J, Perea-Gainza M, Delgado P, Ribo M, Chacon P, Rosell A, et al. Etiologic diagnosis of ischemic stroke subtypes with plasma biomarkers. *Stroke* 2008;39(8):2280-7.