

# Heart Failure in Remission for More than 13 Years

after Removal of a Left Ventricular Assist Device

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Mechanical cardiac unloading with use of a left ventricular assist device (LVAD) is associated with substantial improvements in left ventricular function and enables subsequent LVAD explantation in some patients. We describe the case of a 35-year-old man with dilated nonischemic cardiomyopathy who was supported with an LVAD for 9 months. After the device was removed, he led a normal life for 13 years and 4 months. However, at 49 years of age, he presented with new signs and symptoms of heart failure, necessitating implantation of a 2nd LVAD. Afterwards, he has remained asymptomatic. This case is unique in that the patient lived a normal life for longer than a decade before renewed left ventricular decompensation necessitated repeat LVAD therapy. Histologic examination revealed few changes between the first device's removal in 1999 and the 2nd device's implantation in 2012. (*Tex Heart Inst J* 2014;41(4):389-94)

In patients with advanced heart failure, mechanical support with a left ventricular assist device (LVAD) typically leads to improved circulatory function. Mechanical cardiac unloading is also associated with substantial improvements in left ventricular (LV) function and enables LVAD explantation in some patients.<sup>1</sup> After device removal, these patients are treated medically,<sup>2,3</sup> and some never need heart transplantation. Conversely, in other patients, emergent implantation of another LVAD is necessary shortly after removal of the first device.<sup>4</sup>

We describe the case of a patient with familial dilated cardiomyopathy who underwent implantation of an LVAD as a bridge to transplantation. The LVAD had to be removed within a year, and the patient then led a normal life for longer than 13 years before new signs and symptoms of heart failure emerged. We found no previous report of any patient's leading a normal life for so long after device removal before needing a 2nd LVAD.

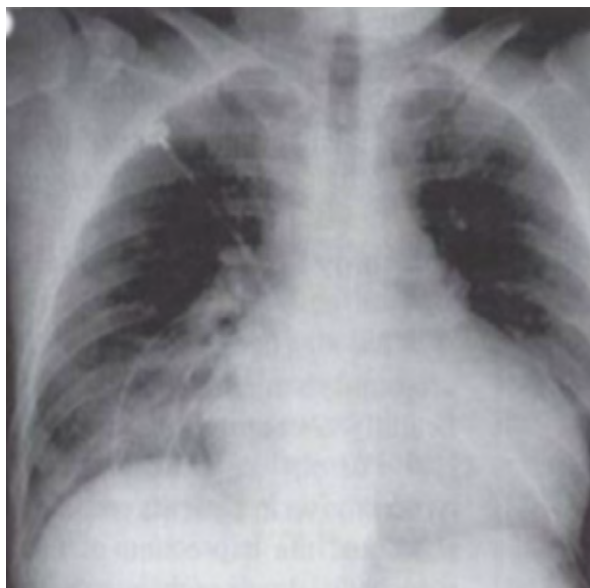
## Case Report

**February 1997: Initial Presentation.** In February 1997, a 35-year-old man presented at the Texas Heart Institute with advanced heart failure due to idiopathic dilated cardiomyopathy. The patient's family medical history was noteworthy because his father, a sister, and a cousin had died of heart failure. Despite ongoing maximal medical care, the patient's clinical condition had continued to deteriorate, with a decrease in blood pressure and a low cardiac output. A chest radiograph showed considerable cardiomegaly and vascular congestion (Fig. 1).<sup>5</sup> Echocardiograms revealed a severely dilated LV and a low systolic LV ejection fraction (LVEF) (Table I). On catheterization, the cardiac index was 1.68 L/min/m<sup>2</sup>, and the LV end-diastolic pressure was 25 mmHg. The results of coronary angiography were normal. The patient was placed on the waiting list for cardiac transplantation.

**November 1998: First Device Implantation.** The patient experienced further clinical deterioration while awaiting a donor heart. In November 1998, he underwent implantation of a HeartMate® XVE Left Ventricular Assist System (Thoratec Corporation; Pleasanton, Calif). An LV core biopsy specimen revealed moderate myocyte hypertrophy and interstitial fibrosis (Fig. 2A).<sup>5</sup> When examined by means of transmission electron microscopy, the myocardial specimen exhibited cellular features of dilated cardiomyopathy, including a paucity of sarcomeres and mitochondria, large amounts of unspecified cytoplasm, and presumed nuclear heterochromatin (Fig. 2B).<sup>5</sup> The patient's condition improved after LVAD implantation, and he was discharged from the hospital on a supplemental medical regimen consisting of a  $\beta$ -blocker, an

angiotensin-converting enzyme inhibitor, furosemide, and potassium supplements.

*July 1999: Device Explantation.* Nine months later (in July 1999), the patient was readmitted with malaise and a fever. An LVAD driveline infection was detected, and cultures grew methicillin-resistant *Staphylococcus aureus*. Right-sided heart catheterization with minimal device support was performed, to determine the patient's candidacy for LVAD explantation. The results included a pulmonary artery pressure of 24/9 mmHg (mean, 11 mmHg), a pulmonary capillary wedge pressure of 8 mmHg, and a cardiac output of 6.4 L/min. Dobutamine stress echocardiograms revealed an LVEF of 0.45



**Fig. 1** February 1997. Chest radiograph from the patient's initial presentation shows considerable cardiomegaly and vascular congestion.

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to 0.49 and a normal LV size (Table I). Because of the driveline infection and the adequate contractile reserve of the LV myocardium, the LVAD was explanted. A chest radiograph showed only mild cardiomegaly (Fig. 3A).<sup>5</sup> Histologic examination of LV myocardial specimens yielded a reduction in endomyocardial interstitial fibrosis and myocyte hypertrophy (Fig. 3B).<sup>5</sup> Transmission electron microscopic images of the myocardium showed repopulation of the unspecified cytoplasm with sarcomeres and mitochondria, and the nuclear structure was more uniform (Fig. 3C).<sup>5</sup> These findings were all consistent with improved LV systolic function.

The patient was discharged from the hospital and was monitored by his physician at 3-month intervals. He remained in New York Heart Association functional class I on a regimen of furosemide, metoprolol, lisinopril, and digoxin. He returned to his normal activities and resumed gainful employment for the next 13 years.

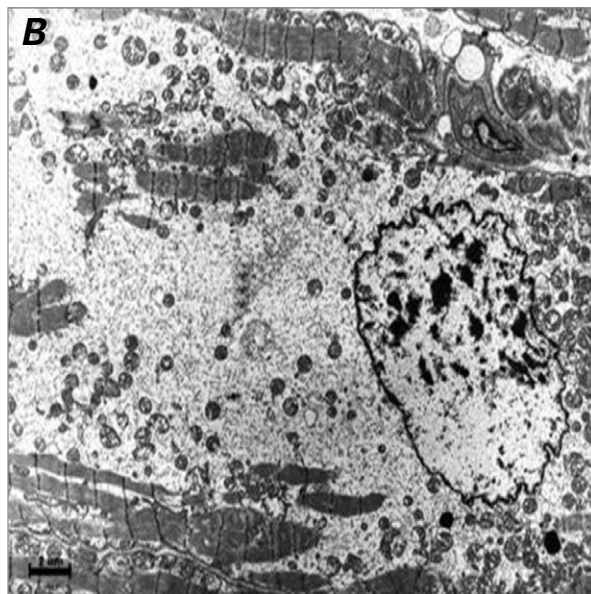
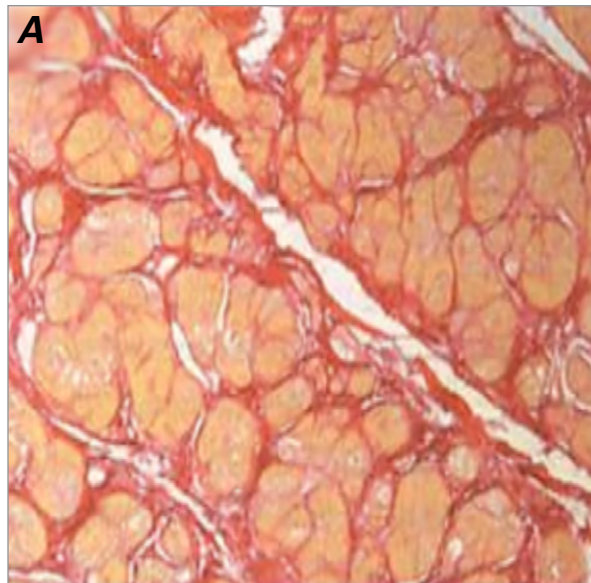
*November 2012: 2nd Device Implantation.* In November 2012—13 years and 4 months after device explantation—the 49-year-old patient developed an upper respiratory tract infection and dyspnea on exertion. The infection responded to antibiotic therapy; however, the patient's shortness of breath worsened until it involved orthopnea and frequent episodes of paroxysmal nocturnal dyspnea. A chest radiograph showed cardiomegaly with pulmonary congestion (Fig. 4A). Echocardiograms revealed an LVEF of 0.20 to 0.24 and an LV end-diastolic diameter of 63 mm (Table I). The results of left- and right-sided catheterization included normal coronary arteries, a pulmonary wedge capillary pressure of 35 mmHg, and a cardiac index of 1.3 L/min/m<sup>2</sup>. An intra-aortic balloon was inserted for hemodynamic support, followed by the implantation of a HeartWare<sup>®</sup> Ventricular Assist System (HeartWare Inc.; Framingham, Mass). An LV myocardial biopsy specimen exhibited mild-to-moderate hypertrophy (Fig. 4B), with moderate perivascular and interstitial fibrosis similar to what had been seen before the patient's LVAD explantation in 1999. Three weeks later, the patient was discharged from the hospital on a regimen of carvedilol (12.5 mg 2x/d), valsartan (160 mg/d),

**TABLE I.** Echocardiographic Data of the Patient

Variable	Initial Presentation (1997)	Upon Device Explantation (1999)	Before 2nd Implantation (2012)
LVEDD (mm)	75	56	63
LVEF	<0.20	0.45–0.49	0.20–0.24
PASP (mmHg)	45	25	38
MR grade	2	Trace	1

LVEDD = left ventricular end-diastolic diameter; LVEF = left ventricular ejection fraction; MR = mitral regurgitation; PASP = pulmonary artery systolic pressure

spironolactone (25 mg/d), bumetanide (1 mg 2x/d), dipyridamole (75 mg 3x/d), and warfarin (5 mg/d). As of June 2014, he continued to undergo evaluation at monthly intervals and remained asymptomatic.



**Fig. 2** November 1998. Analysis of left ventricular myocardial biopsy specimens upon initial left ventricular assist device implantation. **A)** Photomicrograph shows moderate myocyte hypertrophy and interstitial fibrosis (picrosirius red stain, orig.  $\times 10$ ).

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**B)** Transmission electron microscopic image shows few sarcomeres or mitochondria, large amounts of unspecified cytoplasm, and dark spots that are presumably nuclear heterochromatin.

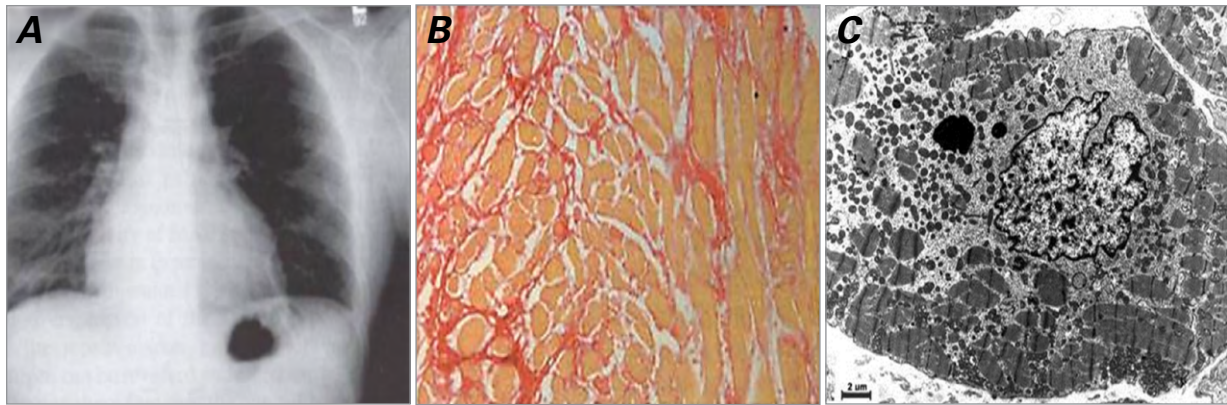
## Discussion

To our knowledge, this is the first report of a patient who underwent LVAD explantation and then needed a 2nd LVAD after a symptom-free interval longer than a decade. The historical experience with LVAD explantation has been short, and only now are long-term results becoming available.<sup>6</sup> Our patient's clinical presentation supports the concept of "heart failure in remission" and supports the idea that certain patients can be weaned from cardiac assist devices. However, there is still no reliable predictor of long-term outcome after device removal.<sup>7</sup> In addition, our patient's story raises several points to consider.

1) Improved or normal contractile function is a hallmark of the remission of heart failure. Our patient's cardiac functional improvement enabled LVAD explantation; however, the abnormality underlying the cardiomyopathy remained. Despite pharmacologic therapy and normal cardiac function for a prolonged period, late decompensation of LV function occurred. This occurrence lends credence to the following concept: mechanical unloading of the failing heart might induce remission but not recovery from the idiopathic process responsible for heart failure, which involves a complex process of myocardial remodeling.<sup>5</sup> Nevertheless, the prolonged improvement in our patient's cardiac function—achieved through mechanical unloading—produced a substantial clinical benefit. In a series described by Birks and colleagues,<sup>6</sup> 40 patients underwent LVAD implantation as a bridge to recovery. Their overall duration of support was  $331.6 \pm 223.4$  days. After undergoing device removal, 4 patients (10%) required heart transplantation (at 34, 512, 1,019, and 1,213 d). At 7 years, the overall survival rate was 73.9%, and the rate of freedom from death or transplantation was 69%. None of the patients needed another LVAD. The longest survival period in that series was 7 years after LVAD removal, whereas our patient lived without an LVAD almost twice as long. However, Birks and colleagues<sup>6</sup> did not distinguish "recovery" (their term) from "remission" (our term) of heart failure by means of mechanical unloading.

2) Mechanical unloading benefits specific characteristics of myocardial structure and cardiovascular function,<sup>8</sup> including hemodynamic performance,<sup>9,10</sup> LV chamber size and mass,<sup>11</sup> myocyte size,<sup>12,13</sup> myocyte contractility,<sup>14-17</sup> and  $\beta$ -adrenergic sensitivity.<sup>14</sup> Mechanical cardiac support also improves many aspects of intracellular calcium cycling<sup>2,18,19</sup> and reduces circulating levels of neurohormones<sup>20</sup> and inflammatory mediators.<sup>21</sup> Furthermore, mechanical support is associated with improved respiratory capacity and increased nitric oxide-dependent control of mitochondrial respiration.<sup>22-24</sup>

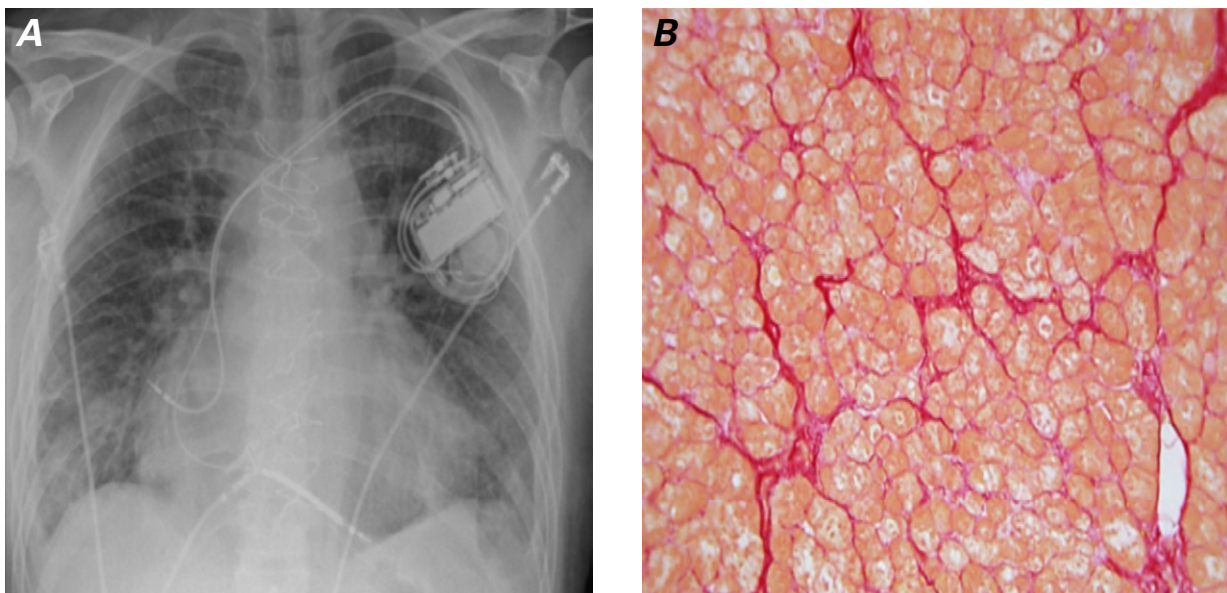
3) Despite the benefits of ventricular unloading at the cellular and clinical levels, relatively few patients have undergone LVAD explantation.<sup>3,4,25-29</sup> To date, only a



**Fig. 3** July 1999. Explantation of device. **A)** Chest radiograph shows only mild cardiomegaly. **B)** Photomicrograph of left ventricular myocardial specimen shows reduced interstitial fibrosis and myocyte hypertrophy in comparison with preimplantation findings (picrosirius red stain, orig.  $\times 10$ ).

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**C)** Transmission electron microscopic image of the specimen reveals, in comparison with preimplantation findings, repopulation of the unspecified cytoplasm with sarcomeres and mitochondria, and a more uniform nuclear structure.



**Fig. 4** November 2012. At the time of the 2nd left ventricular assist device implantation, **A)** chest radiograph shows cardiomegaly with pulmonary congestion, and **B)** photomicrograph shows mild-to-moderate hypertrophy with moderate perivascular and interstitial fibrosis (picrosirius red stain, orig.  $\times 10$ ). The hypertrophy is comparatively reduced, and the histologic appearance is similar to the findings before the first implantation (see Fig. 2A). The interstitial fibrosis appears to have increased only minimally since the first device was explanted (see Fig. 3B).

few investigators have evaluated long-term survival after LVAD explantation.<sup>6,28</sup> The beneficial effects of LVAD unloading appear to be related to the origin of the cardiomyopathy and the severity and duration of the disease.<sup>30</sup> Although a genetic cause for our patient's cardiac dilation and heart failure has not yet been ruled out, we have been unable to establish a specific cause. Mechanical unloading results in much greater improvement in patients who have nonischemic dilated cardiomyopathy

than in patients who have end-stage heart failure related to an acute myocardial infarction.<sup>31</sup> Moreover, device-related recovery of function is better in patients who have acute heart failure than in those who have chronic heart failure.<sup>31,32</sup> A possible reason for this difference is the reactivation of the fetal gene program in patients with chronic heart failure.<sup>33</sup>

4) Currently, no blood-borne markers can reliably predict sustained myocardial improvement consequent to

mechanical support. However, in previous studies, Müller and colleagues<sup>3</sup> found elevated anti- $\beta_1$ -adrenoceptor autoantibody levels in the sera of 80% of patients who had idiopathic dilated cardiomyopathy. Levels of these antibodies returned to normal in the patients whose LV function improved after mechanical unloading. In addition, evaluating histologic values at the time of LVAD implantation might help to identify patients whose mechanical circulatory support might be safely discontinued later.<sup>34</sup> Fewer structural changes (less hypertrophy and fibrosis) and lower cardiac expression levels of miR-23a and miR-195 transcripts are observed in the hearts of patients whose LVADs can be explanted than in patients who need continued ventricular support.<sup>34,35</sup> Our patient's histologic results showed an improved architecture after LVAD support, with a reduction in myocyte size and only mild-to-moderate perivascular fibrosis at the time of LVAD explantation. Similar histologic changes were seen in the new LV core specimen upon implantation of the 2nd device, with a very small increase in the amount of interstitial collagen in some areas. In all the examined sections, there was minimal replacement fibrosis. Our patient's heart failure clearly went into remission; however, the causative disease process remained.

The medical literature contains other reports of recurrent heart failure after device explantation<sup>36</sup>; however, those recurrences took place just months to 3 years after LVAD removal, and the recurrence rate was higher in older patients and in those with longer durations of heart failure.<sup>28</sup> Our patient's case is unique for 2 reasons: his symptom-free interval of 13 years and 4 months after LVAD removal, and the notably few histologic cardiac changes observed between device explantation and reimplantation. Currently, we have an incomplete understanding of the reverse remodeling changes that might enable long-term cardiac functional improvement and the remission of heart failure.<sup>37</sup> Further studies are needed to define the molecular mechanisms for the remission of heart failure consequent to LV mechanical unloading.

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